Electronic Acknowledgement Receipt					
EFS ID:	3540138				
Application Number:	10632149				
International Application Number:					
Confirmation Number:	1871				
Title of Invention:	Method and system for providing rule-based collateral allocation ar substitution		Method and system for providing rule-based collateral allocation and substitution		
First Named Inventor/Applicant Name:	David R. Fitzpatrick				
Customer Number:	23911				
Filer:	Richard Lacava/Erica Plesser				
Filer Authorized By:	Richard Lacava				
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Application Type:	Utility under 35 USC 111(a)				
Payment information:					

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1560
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## File Listing:

Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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Total Files Size (in bytes): 102253					
Information:			<u>,                                      </u>		
Warnings:					
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2 Fee Workshe	Fee Worksheet (PTO-06)	fee-info.pdf	8344	no	2
Information:					
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	Extension of Time		2	2	
	Notice of Appeal Filed		1	1	
	Document Description		Start	End	
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1	i9926.pdf	1e0009d33c9b2c684fb37210a47dbef0 404c219c	yes	2	
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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.